

PTO/SB/08A (10-01)

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Complete if Known Substitute for form 1449A/PTO 10/708.525 **Application Number INFORMATION DISCLOSURE** March 10, 2004 Filing Date STATEMENT BY APPLICANT Susumu Noda **First Named Inventor** 2874 **Art Unit** (use as many sheets as necessary) (To be assigned) Son G **Examiner Name** Attorney Docket Number 39,039 of 2 1 Sheet

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials		<u>Document Number</u> Number - Kind Code ² (<i>if known</i>	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FORE	EIGN PATENT D	OCUMENTS		
Examiner Initials	Cite No. ¹	Country Code 3 - Number 4 - Kind Code 3 (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
en.	F1	JP-2001-272555-A	10-05-2001	Kansai TLO KK and TDK		7
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	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²		
Sur	01	A. Chutinan and S. Noda, "Waveguides and waveguide bends in two-dimensional photonic crystal slabs," Physical Review B, Vol. 62, No. 7, 2000, pp. 4488-4492.			
sus	02	S. Noda, et al., "Trapping and emission of photons by a single defect in a photonic bandgap structure," Nature, Vol. 407, 5 October 2000, pp. 608-610.			
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			U.S. PATI	ENT DOCUMENTS	
Examiner Initials*		<u>Document Number</u> Number- Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FORE	IGN PATENT D	OCUMENTS		
Exeminer Initials	Cite No. ¹	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TB
QVV	F1	EP-1-136-853-A	09-26-2001	TDK, Kansai Tech Licer	sing	~
8VE	!	JP-2001-242329-A	09-07-2001	Olympus	Abstract; paras. 0029-0040; Figs. 1a, 1b, 3	-

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Exeminer Initials	Cite No.1	other prior art - non patent literature documents  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the  item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue  number(s), publisher, city and/or country where published.	T ²
SUF	01	E. Centeno, et al., "Multiplexing and demultiplexing with photonic crystals," Journal of Optics A: Pure Appl. Opt., IOP Publishing, Bristol, GB, Vol. 1, No. 5, September 1999, pp. L10-L13.	
844	02	Masahiro Imada and Susumu Noda, "Recent progress of Semiconductor Photonic Crystals," IEEE-NANO 2002, August 26, 2002, pp. 217-218.	
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